

BEST AVAILABLE COPY

HBURG CO LPA

☎ 216 771 3804

12/29/05 11:27 ☐ :01/04 NO:410

Rec'd PCT/PTO

29 DEC 2005

FACSIMILE TRANSMISSION SHEET

**D. PETER HOCHBERG CO., L.P.A.
ATTORNEYS AT LAW
THE BAKER BUILDING - 6TH FLOOR
1940 EAST 6TH STREET
CLEVELAND, OHIO 44114
e-mail: dphochberg@aol.com**

Telephone: (216) 771-3800 / Facsimile: (216) 771-3804

PCT Operations / Attn: Ms. Donna Green

FAX NO: 1 (571) 273-3201

M: Christine Kotran, Secretary to D. Peter Hochberg, Esq.

E December 29, 2005

BER OF PAGES (INCLUDING THIS COVER SHEET): 4

SAGE: Re: Correction of Corrected Filing Receipt mailed 12/22/2005
Seibertz et al. U.S. Patent Appln. 10/517,093 - Filed December 6, 2004
FILM-SHAPED DISINTEGRATABLE PREPARATIONS
FOR ACTIVE SUBSTANCE RELEASE AND PROCESSES
FOR THE PRODUCTION THEREOF
Our Ref. RO0953US (#90568)

Ms. Green:

We have received the Corrected Filing Receipt mailed 12/22/2005 which still contains
. A copy of the 12/22/2005 receipt follows with the required corrections marked thereon.

By fax of December 21, 2004 we requested only one small change to the Corrected Filing
pt mailed 12/01/2005, i.e., to correct the spelling of the city of residence for the second
tor Christian Von Falkenhausen. However, the requested correction was not entered (on the
pt mailed December 22), and a change of the title was entered that was not requested. With
x of December 21, we submitted copies of the relevant pages from documents supporting the
sted corrections and are not resending them at this time. Please contact me if you need them
Therefore:

1) Please correct the city of residence for the second inventor, Christian Von Falkenhausen,
eckenheim, note the "k" in Meckenheim - i.e., the entry for Mr. Von Falkenhausen should

Dr. Christian Von Falkenhausen, Meckenheim, GERMANY

We also note that titles are not usually included with inventor identification in the United
s. If you decide to delete the "Dr." for Christian Von Falkenhausen, please also do so for (Dr.)
us Krumme, the third inventor.

(Continued)

BEST AVAILABLE COPY

D PETER HOCHBERG CO LPA

☎ 216 771 3804

12/29/05 11:27 ☐ :01/04 NO:410

FACSIMILE TRANSMISSION SHEET

D. PETER HOCHBERG CO., L.P.A.
ATTORNEYS AT LAW
THE BAKER BUILDING - 6TH FLOOR
1940 EAST 6TH STREET
CLEVELAND, OHIO 44114
e-mail: dphochberg@aol.com

Telephone: (216) 771-3800 / Facsimile: (216) 771-3804

TO: PCT Operations / Attn: Ms. Donna Green **FAX NO:** 1 (571) 273-3201

FROM: Christine Kotran, Secretary to D. Peter Hochberg, Esq.

DATE December 29, 2005

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 4

MESSAGE: Re: Correction of Corrected Filing Receipt mailed 12/22/2005
Seibertz et al. U.S. Patent Appln. 10/517,093 - Filed December 6, 2004
FILM-SHAPED DISINTEGRATABLE PREPARATIONS
FOR ACTIVE SUBSTANCE RELEASE AND PROCESSES
FOR THE PRODUCTION THEREOF
Our Ref. RO0953US (#90568)

Dear Ms. Green:

We have received the Corrected Filing Receipt mailed 12/22/2005 which still contains errors. A copy of the 12/22/2005 receipt follows with the required corrections marked thereon.

By fax of December 21, 2004 we requested only one small change to the Corrected Filing Receipt mailed 12/01/2005, i.e., to correct the spelling of the city of residence for the second inventor Christian Von Falkenhausen. However, the requested correction was not entered (on the Receipt mailed December 22), and a change of the title was entered that was not requested. With our fax of December 21, we submitted copies of the relevant pages from documents supporting the requested corrections and are not resending them at this time. Please contact me if you need them again. Therefore:

1) Please correct the city of residence for the second inventor, Christian Von Falkenhausen, to Meckenheim, note the "k" in Meckenheim - i.e., the entry for Mr. Von Falkenhausen should read:

Dr. Christian Von Falkenhausen, Meckenheim, GERMANY

We also note that titles are not usually included with inventor identification in the United States. If you decide to delete the "Dr." for Christian Von Falkenhausen, please also do so for (Dr.) Markus Krumme, the third inventor.

(Continued)

D PETER HOCHBERG CO LPA

☎ 216 771 3804

12/29/05 11:27 ☐ :02/04 NO:410

2) The Corrected Filing Receipt of December 1, 2005 also had the proper title:

**FILM-SHAPED DISINTEGRATABLE PREPARATIONS FOR ACTIVE
SUBSTANCE RELEASE AND PROCESSES FOR THE PRODUCTION THEREOF**

Please re-enter this proper title as it was to have been entered on the original PTO records in view of the Preliminary Amendment filed with the U.S. national phase application. This correction had been made on the Corrected Filing Receipt mailed 12/01/2005, but somehow it was changed again.

Please contact me if you require anything further to issue a proper Filing Receipt for this application. We appreciate your diligence in trying to complete this matter, and

All Good Wishes for a Happy New Year!

*****PRIVILEGED AND CONFIDENTIAL*****

This communication is intended only for the use of the named addressee(s) and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone (collect), and return the original message to us at the above address via the U.S. Postal Service. Thank you.

BEST AVAILABLE COPY

D PETER HOCHBERG CO LPA

☎ 216 771 3804

12/29/05 11:27 :03/04 NO:410



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/517,093	12/06/2004	1615	2330	RO0953US(#90568)		82	6

CONFIRMATION NO. 1122

CORRECTED FILING RECEIPT



OC000000017711305

D Peter Hochberg
Baker Building
6th Floor
1940 East 6th Street
Cleveland, OH 44114

Date Mailed: 12/22/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frank Seibertz, Rheinbrohl, GERMANY;
Dr. Christian Von Falkenhausen, Meckenheim, GERMANY;
Dr. Markus Krumme, Randolph, NJ,

Dr. Christian Von Falkenhausen, Meckenheim, GERMANY

Power of Attorney:

D Hochberg-24603
James Rich-25519
Katherine Vieyra-47155
Sean Mellino-48817

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/04806 05/08/2003

Foreign Applications

GERMANY 102 24 607.6 06/04/2002

If Required, Foreign Filing License Granted: 12/01/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/517,093**

Projected Publication Date: Not Applicable

See next sheet also

BEST AVAILABLE COPY

D PETER HOCHBERG CO LPA

☎ 216 771 3804

12/29/05 11:27 ☐ :04/04 NO:410

Non-Publication Request: No

Early Publication Request: No

Title

FILM-SHAPED DISINTEGRATABLE PREPARATIONS FOR ACTIVE SUBSTANCE RELEASE AND PROCESSES FOR THE PRODUCTION THEREOF

Film-Shaped, Dissolvable Preparations For Active Substance Release and Method For The
Production Thereof

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where